

ITEM NO: 14

DECISION-MAKER:	OVERVIEW AND SCRUTINY MANAGEMENT COMMITTEE		
SUBJECT:	AUDIT COMMISSION: AUDIT AND INSPECTION PLAN PROGRESS REPORT		
DATE OF DECISION:	22 APRIL 2010		
REPORT OF:	ASSISTANT CHIEF EXECUTIVE (STRATEGY)		
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STATEMENT OF CONFIDENTIALITY			
None			

SUMMARY

The 2009-10 Audit and Inspection Plan was presented to the Audit Committee on 24 June 2009 and the progress report was considered by the Audit Committee on 25th January 2010. The Audit and Inspection Plan is based on the Audit Commission's risk-based approach to audit planning as set out in the Code of Audit Practice and on the risk-based approach to inspection planning as set out in the Comprehensive Area Assessment (CAA) Framework. A copy of the report to the Audit Committee providing an update on progress against the Plan, together with reports issued, is attached as Annex 1 this report.

RECOMMENDATIONS:

- (i) That the Overview and Scrutiny Management Committee considers the attached report to the Audit Committee and provides guidance on any issues which require further discussion in relation to regular performance monitoring.

REASONS FOR REPORT RECOMMENDATIONS

1. The Audit Committee has requested that the Audit and Inspection Plan be referred to the Overview and Scrutiny Management Committee for consideration.

CONSULTATION

2. The report to the Audit Committee is attached, following discussion at the meeting on 25th January 2010 and agreed with the appropriate officers.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

3. None

DETAIL

4. The following Audit Commission reports are attached for consideration in the Appendix:
 - Progress report – December 2009
 - LA Short Notice Inspection – June 2009
 - LA Short Notice Inspection – Action Plan

FINANCIAL/RESOURCE IMPLICATIONS

Capital

5. None

Revenue

6. None

Property

7. None

Other

8. None

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

9. The duties and powers of auditors appointed by the Audit Commission are set out in the Local Government Act 1999.

Other Legal Implications:

10. None

POLICY FRAMEWORK IMPLICATIONS

11. None

SUPPORTING DOCUMENTATION

Appendices

1. Audit Commission: Progress report – December 2009
2. Audit Commission: LA Short Notice Inspection – June 2009
3. LA Short Notice Inspection – Action Plan

Documents In Members' Rooms

1. None

Background Documents

Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)
None	

Background documents available for inspection at: N/a

KEY DECISION? N/A

WARDS/COMMUNITIES AFFECTED: All