

DECISION-MAKER:	GOVERNANCE COMMITTEE		
SUBJECT:	CHIEF INTERNAL AUDITOR – ANNUAL REPORT & OPINION 2016-17		
DATE OF DECISION:	12 JUNE 2017		
REPORT OF:	CHIEF INTERNAL AUDITOR		
<u>CONTACT DETAILS</u>			
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STATEMENT OF CONFIDENTIALITY	
N/A	
BRIEF SUMMARY	
<p>In accordance with proper internal audit practices, the Chief Internal Auditor is required to provide an opinion on the adequacy and effectiveness of the Council's framework of risk management, internal control and governance.</p> <p>The attached report (Appendix 1) provides the Chief Internal Auditor's opinion and summarises audit work from which that opinion has been derived for the year ending 31 March 2017.</p> <p>The report concludes that Southampton City Council's framework of governance, risk management and control is 'Adequate'.</p> <p>Where weaknesses have been identified through internal audit review, we have worked with management to agree appropriate corrective actions and a timescale for improvement.</p>	
RECOMMENDATIONS:	
	(i) That the Governance Committee approves the Chief Internal Auditor Annual Report & Opinion 2016-17
REASONS FOR REPORT RECOMMENDATIONS	
1.	In accordance with proper internal audit practices (Public Sector Internal Audit Standards) and the Internal Audit Charter, the Governance Committee is required to receive the Chief Internal Auditor's Annual Report & Opinion 16-17.
ALTERNATIVE OPTIONS CONSIDERED AND REJECTED	
2.	None
DETAIL (Including consultation carried out)	
3.	The Internal Audit Report & Opinion has been agreed both senior management within SCC.

RESOURCE IMPLICATIONS	
<u>Capital/Revenue</u>	
4.	None.
<u>Property/Other</u>	
5.	None.
LEGAL IMPLICATIONS	
<u>Statutory power to undertake proposals in the report:</u>	
6.	The Accounts and Audit (England) Regulations 2015 state ‘a relevant body must undertake an effective internal audit to evaluate the effectiveness of its risk management, control and governance processes, taking into account public sector internal auditing standards or guidance’.
<u>Other Legal Implications:</u>	
7.	None.
RISK MANAGEMENT IMPLICATIONS	
8.	The internal audit plan on which the annual report and opinion is based was informed by and aligned with the organisation corporate and directorate risk registers.
POLICY FRAMEWORK IMPLICATIONS	
9.	None.

KEY DECISION?	No
WARDS/COMMUNITIES AFFECTED:	
<u>SUPPORTING DOCUMENTATION</u>	
Appendices	
1.	Chief Internal Auditor Annual Report & Opinion 2016-17
Documents In Members’ Rooms	
1.	None
Equality Impact Assessment	
Do the implications/subject of the report require an Equality and Safety Impact Assessments (ESIA) to be carried out.	No
Privacy Impact Assessment	
Do the implications/subject of the report require a Privacy Impact Assessment (PIA) to be carried out.	No
Other Background Documents	
Equality Impact Assessment and Other Background documents available for inspection at:	
Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule

	12A allowing document to be Exempt/Confidential (if applicable)
1.	